

Investor Complaints Data of Ashlar Securities Pvt. Ltd. including all Exchanges and Depository

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites on every month ending as per SEBI circular no SEBI/HO/MIRSD/DOP/P/CIR/2021/676 format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites.

For the month - November 2022

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time ^ (in days)
						Pending for less than months	Pending for more than months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	3	0	2	1	0	0	0
4	Depository	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
6	Grand Total	3	0	2	1	0	0	0

Trend of monthly disposal of complaints

2022-23

SN	Month	Broker				Depository			
		Carried forward from previous month	Received	Resolved*	Pending**	Carried forward from previous month	Received	Resolved*	Pending**
1	Mar-22	0	3	1	2	0	0	0	0
2	Apr-22	2	1	2	1	0	0	0	0
3	May-22	1	2	3	0	0	0	0	0
4	Jun-22	0	0	0	0	0	0	0	0
5	Jul-22	0	0	0	0	0	1	1	0
6	Aug-22	0	2	0	2	0	0	0	0
7	Sep-22	2	2	2	2	0	0	0	0
8	Oct-22	2	1	0	3	0	0	0	0
9	Nov-22	3	0	1	2	0	0	0	0
			11	9	2		1	1	0

